



EXAMPLE ON CHANGE OF SHAREHOLDING OF PRIVATE COMPANY

NATURAL PERSON

Approval date: 15 July 2020

Nur-Sultan, Kazakhstan

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SAMPLE

Intro

Disclaimer

Please note that this general information is provided for guidance purposes only and should not be regarded as a substitute for taking legal advice. The guidance document is of educational and explanatory nature and should be read together with the relevant AIFC legislations (which may change over time without notification). The following is intended to assist a company to arrive at a common understanding about matters related to post-registration procedure: change of company's shareholding and cover technical questions that may arise along the procedure. Astana Financial Services Authority (AFSA) has the right to request additional information or details for any sections of the documents, and the guidance does not guarantee a complete accuracy or any kind of result. A company is liable for any consequences as a result of this procedure.

Consider before starting procedure

A Company may alter a shareholding structure by giving notice to the Registrar and filing relevant forms:

#	Application forms:
1.	AIFC Notice of transfer of shares.
2.	AIFC Notice on amendment of articles of association (applicable to companies with a standard AoA adopted before 01.01.2020 or bespoke AoA).
3.	AIFC Notification of change of registered details.
4.	Notification of actualization of information.
	Supporting documents:
1.	Resolution of Shareholders approving the transfer of shares.
2.	Instrument of transfer.
3.	Amended Articles of Association (applicable to companies with a standard AoA adopted before 01.01.2020 or bespoke AoA)
4.	Special resolution on amendment of AoA (applicable to companies with a standard AoA adopted before 01.01.2020 or bespoke AoA).
5.	If a new shareholder is a natural person: a copy of passport.
6.	Payment confirmation.

The change takes effect upon the notice being registered by the Registrar. Please note that company must notify Registrar within 14 days after the change and comply with all other requirements applying to company under the Rules in relation to the change. Otherwise company may be subject to a fine up to 2 000 USD.

All supporting document must be provided in English or accompanied by an appropriate translation certified to the satisfaction of the Registrar of Companies.

NOTE:

If a proposed shareholder is a natural person with an IIN (Individual Identification Number): It is recommended to check liability for state tax prior to submission of documents for change of shareholding. Ministry of Justice may refuse or postpone processing of application until an individual pays off all outstanding debts, fines, fees and charges. Check government database [Adilet](#).

Initial inputs of case

This guide is filled in for hypothetical case and has following initial inputs:

- **Organizational-legal form:** Private Company limited by shares
- **Company Name:** XYZ Limited
- **Business activity:** Non-regulated Investment activities.
- **BIN:** 111111111111
- **Description of proposed business activities:** Investing and placing **own funds** (shareholder capital) in: (1) Bank deposits; (2) Real estate; (3) Investing in securities; (4) Financing investment projects in various sectors of the economy - investing in authorized capital - investing at your own expense, for example, through venture companies, investment clubs, etc.
- **Shareholders:**
 - Individual Shareholder 1: Ernar Ernaryly (resident)
 - Individual Shareholder 2: Ivan Ivanov (resident)
 - Individual Shareholder 3: Robin Hood (non-resident)
 - **Director / CEO and Authorized Signatory:** Ivan Ivanov
 - **CEO Kazakhstan IIN:** 123456789012
 - **Share Capital:** 10,000 USD represented by 100 Shares with a nominal value of 100 USD
 - **Legal Address:** Mangilik Yel 55/21, Block C4.2, Office 163, Nur-Sultan, Kazakhstan
 - **Type of AoA:** AIFC Standard Articles of Association for Private Companies (commenced on 01.01.2020 as an amendment to AIFC Companies Regulations, Schedule 5).

Current ownership structure before transfer of shares	Ownership structure in process of transfer of shares	New ownership structure after process of transfer of shares
<u>Individual Shareholder 1:</u> Ernar Ernaryly (resident) owns 50% of shares <u>Individual Shareholder 2:</u> Ivan Ivanov (resident) owns 25% of shares <u>Individual Shareholder 3:</u> Robin Hood (non-resident) owns 25% of shares	<u>Individual Shareholder 1:</u> Ernar Ernaryly (resident) transfers 10% of shares to Bruce Lee <u>Individual Shareholder 2:</u> Ivan Ivanov (resident) <u>Individual Shareholder 3:</u> Robin Hood (non-resident) transfers 10% of shares to Bruce Lee	<u>Individual Shareholder 1:</u> Ernar Ernaryly (resident) owns 40% of shares <u>Individual Shareholder 2:</u> Ivan Ivanov (resident) owns 25% of shares <u>Individual Shareholder 3:</u> Robin Hood (non-resident) owns 15% of shares <u>Individual Shareholder 4:</u> Bruce Lee (non-resident) owns 20% of shares

Application form 1: AIFC Notice of transfer of shares

Astana Financial Services Authority

AIFC Notice of Transfer of Shares

Company Name: XYZ Limited

Registration Number: 111111111111

Application Date: 30.04.2020

DECLARATION AND CONSENT

A director must sign this form in the space below.

1.1. Declaration

I declare that:

- I have the authority to make this application.
- All the information given in this application form (including any attachments) is, to the best of my knowledge and belief and after having made all reasonable inquiries, true and complete.
- If at any time after making this declaration, I become aware of a material change in any information given in this application form (including any attachment) that is reasonably likely to be relevant to the Astana Financial Services Authority consideration of this application, I will inform the Astana Financial Services Authority in writing about the change without delay.

1.2. Consent

I acknowledge that it may be necessary for the Astana Financial Services Authority to obtain information from other regulators, law enforcement agencies or other persons (whether in the State or elsewhere) to properly consider and decide this application. Accordingly, I consent to the Astana Financial Services Authority obtaining any information from third parties that the Astana Financial Services Authority considers is necessary for the purpose of considering and deciding this application.

All Personal Data provided to the Astana Financial Services Authority will be processed in accordance with the AIFC Data Protection Regulations 2017, and by signing this form you consent to the Astana Financial Services Authority sharing the information with the AIFC Authority.

IMPORTANT

It is a contravention of the Section 200 of the AIFC Companies Regulations to make a statement, or give information, to the Registrar (whether orally, in a Document or in any other way) that is false or misleading in a material particular, or give a Document to the Registrar that is false or misleading in a material particular, or conceal information or a Document if the concealment is likely to mislead or deceive the Registrar. Contravention of this section is punishable by a fine.

Name of Director:

Ivan Ivanov

Contact email:

xyzlim@mail.ru

Contact number:

8 XXX XXX XX XX

Signature: _____

Date 30.04.2020

PURPOSE OF THIS FORM

This form can be used to notify the Registrar of transfer of shares.

NOTES FOR COMPLETING THIS FORM

All questions must be answered in full and the use of abbreviations should be avoided. If a field is left blank it may prompt a query.

A nil return should be indicated by N/A.

- Dates must be provided in the following format: DD/MM/YYYY.
- Answers must be typed and additional pages attached if necessary.
- Check the relevant Regulations, Rules, or this form, to determine:
 - the information that must be supplied in this form;
 - any supporting documentation that must accompany this form;
 - who should sign this form;
 - when the notification must be made; and
- Ensure that any supporting documentation is clearly labelled and securely attached.
- Defined terms are identified throughout this form by the capitalisation of the initial letter of the word or phrase and are defined in the Glossary published on the www.afsa.kz or the relevant regulations.
- All supporting Documents must be in the English language or accompanied by an appropriate translation certified to the satisfaction of the Registrar of Companies.
- Please ensure that where indicated any supporting Documents are attached to the notification and where required certified as a “True Copy” by a director/secretary/ Member/Designated Member of the AIFC/Non-AIFC company or partnership.
- This form, as well as any supporting Documents, may be signed using DocuSign electronic signature. In such case a DocuSign Certification of Completion must be provided.

TRANSFER OF SHARES

Indicate the date of the transfer of shares

17.04.2020

The date of transfer of shares

INFORMATION ABOUT TRANSFEROR

Transferor – Individual (if applicable)

Provide details of current shareholder.

Title	Mr.
Name(s)	Ernar
Surname	Ernaruly
Nationality	Kazakhstan
Date of Birth	22.11.1982
Contact number	8 XXX XXX XX XX

Email	e.ernaruly@gmail.com
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Transferred Shares		
	Ordinary Shares	Other Share Types
Number of Shares transferred	10	<i>Insert text here</i>
Amount (if any) unpaid (in US\$)	Место для ввода текста.	<i>Insert text here</i>
Amount paid (in US\$)	Место для ввода текста.	<i>Insert text here</i>
Total Number of Shares after this transfer	40	<i>Insert text here</i>

Transferor – Individual (if applicable)

Provide details of current shareholder.	
Title	Mr.
Name(s)	Robin
Surname	Hood
Nationality	British
Date of Birth	15.04.1960
Contact number	+44 XXX XXX XXXX
Email	r.hood@gmail.com

Transferred Shares		
	Ordinary Shares	Other Share Types
Number of Shares transferred	10	<i>Insert text here</i>
Amount (if any) unpaid (in US\$)	<i>Insert text here</i>	<i>Insert text here</i>
Amount paid (in US\$)	Место для ввода текста.	<i>Insert text here</i>
Total Number of Shares after this transfer	15	<i>Insert text here</i>

INFORMATION ABOUT TRANSFEREE

Transferee – Individual (if applicable)

Provide details of the new shareholder of the company.

Title	Mr.
Name	Bruce
Surname	Lee
Former Name(s)	N/A
Country of Residence	Republic of China
Nationality	Chinese
Date of Birth	28.08.1988
Business Occupation	Professor at Shanghai University of Finance and Economics
Address	318 Wuchuan Rd, Wujiaochang, Yangpu District, Shanghai, China
Contact number	+86 XX XXXX XXXX
Email	B.lee@gmail.com

Details of Shares

	Ordinary Shares	Other Share Types
Total Number of Shares after this transfer	20	<i>Insert text here</i>

DIRECTOR'S SIGNATURE

I declare that the information in this application and any attachments is true and complete as at the date of this form.

Name <i>Ivan Ivanov</i>	Signature
Date <i>30.04.2020</i>	

You must deliver this form within 14 days of this date.

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NOTICE

To promote transparency, safety of all parties and mitigate risks in the AIFC, the background check of Persons indicated in the application form will be conducted. This may include crime and tax records, law and regulatory enforcement, sanctions list and other relevant checks. In case of adverse background check, the incorporation/registration process may be delayed.

The AFSA Office of the Registrar of Companies reserves the right to ask for additional documents and information.

We occasionally refer to various Regulations and Rules which make up the AIFC Legislation. However, these references are provided only as a guide and are not an exhaustive list of the Regulations and Rules that may be applicable to your situation. It is your responsibility to research any Regulations and Rules that might be pertinent to your application.

Ensure that that you are using the *latest version* of this application form. AFSA will only accept out-of-date forms if they are submitted within one month of the latest version available on our website.

You are advised to retain a copy of the form and all relevant attachments for the records. This form, as well as any supporting Documents, may be signed using DocuSign electronic signature. In such case a DocuSign Certification of Completion must be provided.

CHECKLIST

Please make sure to complete all the required fields in the form and the following supporting document(s) are attached. Incorrect or incomplete application may be returned for re-submission.

If any documents are not in the English Language, they must be accompanied by a translation, certified to the satisfaction of the Registrar.

LIST OF SUPPORTING DOCUMENTS

Please tick where applicable

No.	Requirement	Status
1.	A copy of resolutions approving the transfer of shares	<input checked="" type="checkbox"/>
2.	A copy of instrument of transfer	<input checked="" type="checkbox"/>
3.	Amended Articles of Association	<input type="checkbox"/>
4.	Payment confirmation	<input checked="" type="checkbox"/>
New Shareholder		
	Individual	

5.	Copy of passport	<input checked="" type="checkbox"/>
6.	Copy of Kazakhstani ID (mandatory for Kazakhstani nationals)	<input type="checkbox"/>
	Body Corporate	
7.	A copy of Certificate of Incorporation or Registration (as the case may be) in its place of origin, or a document of similar effect, certified by the relevant authority in the jurisdiction in which it is incorporated or registered.	<input type="checkbox"/>

For further Information, please contact us.

Telephone Number	+77172-64-73-75	+77172-64-73-92
Email Address	Post-registration@afsa.kz	

NOTES FOR LODGING THIS FORM

The method of lodgment of this form is:

- For the AFSA this form and any supporting Documents must be filed in original only, to the relevant address shown:
Astana Financial Services Authority,
3rd floor, office 335
Block C 3.2,
Mangilik El 55/17,
Nur-Sultan, Kazakhstan
T: +7 7172 64 73 75
T: +7 7172 64 73 92
E: post-registration@afsa.kz

The Firm must file this form and any applicable supporting Documents with the correct recipient within the prescribed time limit. Any failure to do so may result in a breach of the applicable Regulations and Rules. You must ensure that any other requirement(s) to make a notification(s) to the AFSA is made on the correct form(s) and within the prescribed time limit.

This form, as well as any supporting Documents, may be signed using DocuSign electronic signature. In such case a DocuSign Certification of Completion must be provided.

Application form 2: AIFC Notification of change in the Registered Details

Astana Financial Services Authority

AIFC Notification of change in the Registered Details

Entity Name: XYZ Limited

Registration Number: 111111111111

Application Date: 30.04.2020

SAMPLE

DECLARATION AND CONSENT

A director must sign this form in the space below.

1.3. Declaration

I declare that:

- I have the authority to make this application.
- All the information given in this application form (including any attachments) is, to the best of my knowledge and belief and after having made all reasonable inquiries, true and complete.
- If at any time after making this declaration, I become aware of a material change in any information given in this application form (including any attachment) that is reasonably likely to be relevant to the Astana Financial Services Authority consideration of this application, I will inform the Astana Financial Services Authority in writing about the change without delay.

1.4. Consent

I acknowledge that it may be necessary for the Astana Financial Services Authority to obtain information from other regulators, law enforcement agencies or other persons (whether in the State or elsewhere) to properly consider and decide this application. Accordingly, I consent to the Astana Financial Services Authority obtaining any information from third parties that the Astana Financial Services Authority considers is necessary for the purpose of considering and deciding this application.

All Personal Data provided to the Astana Financial Services Authority will be processed in accordance with the AIFC Data Protection Regulations 2017, and by signing this form you consent to the Astana Financial Services Authority sharing the information with the AIFC Authority.

IMPORTANT

It is a contravention of the Section 200 of the AIFC Companies Regulations to make a statement, or give information, to the Registrar (whether orally, in a Document or in any other way) that is false or misleading in a material particular, or give a Document to the Registrar that is false or misleading in a material particular, or conceal information or a Document if the concealment is likely to mislead or deceive the Registrar. Contravention of this section is punishable by a fine.

Name of Director:

Ivan Ivanov

Contact email:

xyzlim@mail.ru

Contact number:

8 XXX XXX XX XX

Signature: _____

Date 30.04.2020

PURPOSE OF THIS FORM

This form should be used to notify the Registrar of change in the Registered Details.

Section 17 of the AIFC Companies Regulations specifies that:

- (1) If any of the Registered Details of a Company change, the Company must notify the Registrar in Writing of the change within 14 days after the day the change happens and must comply with all other requirements applying to the Company under the Rules in relation to the change.
- (2) Contravention of this section is punishable by a fine.
- (3) Changes in the Registered Details notice must be accompanied by the prescribed fee set out in the Rules from time to time.

NOTES FOR COMPLETING THIS FORM

All questions must be answered in full and the use of abbreviations should be avoided. If a field is left blank it may prompt a query.

A nil return should be indicated by N/A.

- Dates must be provided in the following format: DD/MM/YYYY.
- Answers must be typed and additional pages attached if necessary.
- Check the relevant Regulations, Rules, or this form, to determine:
 - the information that must be supplied in this form;
 - any supporting documentation that must accompany this form;
 - who should sign this form;
 - when the notification must be made; and
- Ensure that any supporting documentation is clearly labelled and securely attached.
- Defined terms are identified throughout this form by the capitalisation of the initial letter of the word or phrase and are defined in the Glossary published on the www.afsa.kz or the relevant regulations.
- All supporting Documents must be in the English language or accompanied by an appropriate translation certified to the satisfaction of the Registrar of Companies.
- Please ensure that where indicated any supporting Documents are attached to the notification and where required certified as a “True Copy” by a director/secretary/ Member/Designated Member of the AIFC/Non-AIFC company or partnership.
- This form, as well as any supporting Documents, may be signed using DocuSign electronic signature. In such case a DocuSign Certification of Completion must be provided.

CURRENT REGISTERED DETAIL

Provide the current information on the Registered Details	
Current Registered Details	Current information on the Registered Details
Initial shareholding structure	<u>Individual Shareholder 1:</u> Ernar Ernaryly (resident) owns 50% of shares <u>Individual Shareholder 2:</u> Ivan Ivanov (resident) owns 25% of shares <u>Individual Shareholder 3:</u> Robin Hood (non-resident) owns 25% of shares

NEW REGISTERED DETAILS

New Registered Details	New information on the Registered Details
New shareholding structure	<u>Individual Shareholder 1:</u> Ernar Ernaryly (resident) owns 40% of shares <u>Individual Shareholder 2:</u> Ivan Ivanov (resident) owns 25% of shares <u>Individual Shareholder 3:</u> Robin Hood (non-resident) owns 15% of shares <u>Individual Shareholder 4:</u> Bruce Lee (non-resident) owns 20% of shares
Effective Date	17.04.2020

Date of the resolution.

DIRECTOR' SIGNATURE

<input checked="" type="checkbox"/> I declare that the information in this application and any attachments is true and complete as at the date of this form.
--

Name <i>Ivan Ivanov</i>	Signature
Date <i>30.04.2020</i>	

You must deliver this form within 14 days of this date.

NOTICE

To promote transparency, safety of all parties and mitigate risks in the AIFC, the background check of Persons indicated in the application form will be conducted. This may include crime and tax records, law and regulatory enforcement, sanctions list and other relevant checks. In case of adverse background check, the incorporation/registration process may be delayed.

The AFSA Office of the Registrar of Companies reserves the right to ask for additional documents and information.

We occasionally refer to various Regulations and Rules which make up the AIFC Legislation. However, these references are provided only as a guide and are not an exhaustive list of the Regulations and Rules that may be applicable to your situation. It is your responsibility to research any Regulations and Rules that might be pertinent to your application.

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CHECKLIST

Please make sure to complete all the required fields in the form and the following supporting document(s) are attached. Incorrect or incomplete application may be returned for re-submission.

If any documents are not in the English Language, they must be accompanied by a translation, certified to the satisfaction of the Registrar.

LIST OF SUPPORTING DOCUMENTS

Tick all the boxes. All supporting documents must accompany the application.

No.	Document	Status
8.	Copy of resolution approving the change	<input checked="" type="checkbox"/>
9.	Payment confirmation	<input checked="" type="checkbox"/>
10.	<i>Insert text here</i>	<input type="checkbox"/>

For further Information, please contact us.

Telephone Number	+77172-64-73-75	+77172-64-73-92
Email Address	post-registration@afsa.kz	

NOTES FOR LODGING THIS FORM

The method of lodgment of this form is:

- For the AFSA this form and any supporting Documents must be filed in original only, to the relevant address shown:
Astana Financial Services Authority,
3rd floor, office 335
Block C 3.2,
Mangilik El 55/17,
Nur-Sultan, Kazakhstan
T: +7 7172 64 73 75
T: +7 7172 64 73 92
E: post-registration@afsa.kz

The Firm must file this form and any applicable supporting Documents with the correct recipient within the prescribed time limit. Any failure to do so may result in a breach of the applicable Regulations and Rules. You must ensure that any other requirement(s) to make a notification(s) to the AFSA is made on the correct form(s) and within the prescribed time limit.

This form, as well as any supporting Documents, may be signed using DocuSign electronic signature. In such case a DocuSign Certification of Completion must be provided.

Application form 3: Notification of actualization of information

Извещение об актуализации сведений об
органах Центра, его организации и участнике
Центра

Notification of actualization of information about
the Centre bodies, its organizations and the Centre
participants

№	Наименование атрибута Section Name	
	Сведения о регистрирующем органе	
1.	Наименование регистрирующего органа The name of the registering authority	Astana Financial Services Authority Комитет МФЦА по регулированию финансовых услуг
	Регистрационные сведения Registration information	
	Регистрационное действие (перерегистрация, внесение изменений) Registering action (re-registration, modification)	Перерегистрация/ Re-registration
	Фамилия и инициалы заявителя Surname and initials of the applicant	Иванов И. И. / Ivanov I. I.
	Дата подачи заявления Date of submission of an application form	30/04/2020
	БИН BIN	111111111111
	Вид реорганизации (присоединение, выделение) Type of reorganization (merger, allocation)	Please leave blank / пожалуйста не заполняйте
	Основание перерегистрации, изменения сведений The basis for re-registration, change of information	Внесение изменений в состав учредителей Change of company's shareholders
	Наименование полное на русском языке Full name in Russian	Частная компания XYZ Limited
	Наименование полное на государственном и английском языках (<u>при изменении наименования</u>) Full name in Kazakh and English languages (if the name is changed)	Please leave blank / пожалуйста не заполняйте
	Наименование, сокращенное на государственном, русском и английском языках (<u>при изменении наименования</u>) Abbreviated name in Kazakh, Russian and English languages (if the name is changed)	Please leave blank / пожалуйста не заполняйте
	Дата приказа Управляющего Центром, Комитета, дата изменения сведений Date of the order of the Governor, the Committee, the date of the information change	Please leave blank / пожалуйста, не заполняйте

<p>Номер приказа Управляющего Центром, Комитета о перерегистрации, регистрации внесения изменений сведений</p> <p>Number of the order of the Governor of the Centre, the Committee on re-registration, registration of changes</p>	<p>Please leave blank / пожалуйста, не заполняйте</p>
<p>Форма собственности (государственная или частная)</p> <p>Form of ownership (public or private)</p>	<p>Частная / Private*</p> <p>Completed as per your application for obtaining BIN submitted during registration process.</p>
<p>Осуществляет деятельность по типовому уставу?</p> <p>Is the applicant using standard articles of association?</p>	<p>Да / Yes*</p> <p>Completed as per your application for obtaining BIN submitted during registration process.</p>
<p>Вид юридического лица (филиала, представительства) (коммерческая или некоммерческая)</p> <p>Type of legal entity (branch, representative office) (commercial or non-commercial)</p>	<p>Коммерческая / Commercial</p> <p>Completed as per your application for obtaining BIN submitted during registration process.</p>
<p>Тип частного предпринимательства (малый, средний или крупный)</p> <p>Type of private enterprise (small, medium or large)</p>	<p>Малый / Small*</p> <p>Completed as per your application for obtaining BIN submitted during registration process.</p>
<p>Является дочерней организацией?</p> <p>Is it a subsidiary?</p>	<p>Нет / No*</p> <p>Completed as per your application for obtaining BIN submitted during registration process.</p>
<p>Является международной организацией?</p> <p>Is it an international organization?</p>	<p>Нет / No*</p> <p>Completed as per your application for obtaining BIN submitted during registration process.</p>
<p>В составе субъекта участвуют иностранные инвесторы?</p> <p>Are foreign investors participating in the entity?</p>	<p>Да / Yes*</p> <p>Completed as per your application for obtaining BIN submitted during registration process.</p>
<p><i>Нижеуказанные сведения заполняются при наличии в них изменений</i></p> <p><i>The following information is to be filled in if there are changes</i></p>	
<p>Юридический адрес органа Центра, его организации, участника МФЦА (при изменении сведений)</p> <p>The legal address of the Centre body, its organization, Centre participant (in case of changes)</p>	
<p>Сведения о руководителе (при изменении сведений)</p> <p>Information about the CEO (in case of changes)</p>	
<p>Вид экономической деятельности (при изменении сведений)</p> <p>Type of economic activity (in case of changes)</p>	
<p>Сведения об уставном капитале (при изменении сведений)</p> <p>Information on the share capital (in case of changes)</p>	
<p>Сведения об участниках/акционерах: Фамилия, Имя, Отчество (Доля в уставном капитале, %)</p> <p>Information on the incorporators: Surname, first name and middle name (Share in the share capital, %)</p>	

2.	Ernar Ernaryly/ Ернар Ернарулы, 40% Ivan Ivanov/ Иван Иванов, 25% Robin Hood/ Робин Худ, 15% Bruce Lee/ Брюс Ли, 20%	The percentage (%) is to be a whole number or rounded off to one decimal.
Incorporators – Natural persons (if applicable) Учредители - Физические лица (заполняется при наличии)		
3.	Citizenship (citizen of the Republic of Kazakhstan / foreign / statelessness) Отношение к гражданству (гражданин РК/иностранное лицо/лицо без гражданства)	Ernar Ernaryly/ Ернарулы Ернар Жолбарысович гражданин РК/ citizen of the Republic of Kazakhstan Ivan Ivanov/ Иванов Иван Иванович иностранное лицо/ foreign
4.	Kazakhstani Individual Identification Number (IIN) (if applicable) (in case of availability of IIN, items 68-71 are not filled) ИИН (в случае наличия ИИН строки 71-74 не заполняются)	Ernar Ernaryly/ Ернарулы Ернар Жолбарысович 288188213012 Ivan Ivanov/ Иванов Иван Иванович 123456789012
5.	Surname, first name and middle name Фамилия, имя и отчество	Ернарулы Ернар Жолбарысович/ Yernaryly Ernar Zholbarysovich Ivanov Ivan Ivanovich/ Иванов Иван Иванович
6.	Country of citizenship Страна гражданства	Ernar Ernaryly/ Ернарулы Ернар Жолбарысович Республика Казахстан/The Republic of Kazakhstan Ivan Ivanov/ Иванов Иван Иванович Российская Федерация/ Russian Federation
7.	Country of main residence Страна основного местожительства	Ernar Ernaryly/ Ернарулы Ернар Жолбарысович Республика Казахстан/The Republic of Kazakhstan Ivan Ivanov/ Иванов Иван Иванович Республика Казахстан/The Republic of Kazakhstan
<i>Information about a foreign person with no Individual Identification Number of the Republic of Kazakhstan</i> <i>Сведения об иностранном лице без ИИН</i>		
8.	Tax registration number in the country of incorporation Номер налоговой регистрации в стране инкорпорации	Robin Hood/ Робин Худ: 18084535 Bruce Lee/ Брюс Ли: 98765432
9.	Identity document (for citizens of Kazakhstan – national ID or passport; for foreign citizens – passport) / Документ, удостоверяющий личность	Robin Hood/ Робин Худ: Паспорт/ Passport Bruce Lee/ Брюс Ли: Паспорт/ Passport
10.	Document Number	Robin Hood/ Робин Худ: N3435894

	Номер документа	Bruce Lee/ Брюс Ли: N2345679
11.	Date of issue of the document Дата выдачи документа	Robin Hood/ Робин Худ: 23.02.2014 Bruce Lee/ Брюс Ли: 08.12.2016
12.	Share in the share capital, % Доля в уставном капитале	Robin Hood/ Робин Худ: 15% Bruce Lee/ Брюс Ли: 20%
13.	Amount in KZT and USD Сумма вклада в тенге	Robin Hood/ Робин Худ 629,920.5 KZT/ 1,500 USD Bruce Lee/ Брюс Ли 839,894 KZT/ 2,000 USD Итого/ Total: 1,469,814.5 KZT/ 3,500 USD
<p>Участники реорганизации - (если юридическое лицо выделило из своего состава или присоединило к себе другое юридическое лицо)</p> <p>Participants of the reorganization – (if a legal entity has separated from another legal entity or included another legal entity to itself)</p>		

Exchange rate as per National Bank of Kazakhstan on the date of this application.

SAMPLE

Supporting document 1 & 2: Resolution on share transfer and instruments of transfer of shares

RESOLUTION OF SHAREHOLDERS

OF

XYZ Limited

DATED

17.04.2020

We are, Ernar Ernaryly, Ivan Ivanov and Robin Hood, being all the Shareholders of XYZ Limited, a Private Company limited by Shares, organised and existing under the laws of Astana International Financial Centre, having its registered address at Mangilik Yel 55/20, office 251-252, Nur-Sultan, Kazakhstan (the “Company”), hereby consent to the adoption of the following resolutions:

TRANSFER OF SHARES:

RESOLVED, that the following transfer of ordinary shares of the Company and as executed in the instrument of transfer annexed herewith be and is hereby approved.

Transferor	Transferee	No. of Shares
Ernar Ernaryly	Bruce Lee	10
Robin Hood	Bruce Lee	10

1. Overall shares after transfer:
Ernar Ernaryly – 40%
Ivan Ivanov – 25%
Robin Hood – 15%
Bruce Lee - 20%
- The capital share of the company will remain to be 10,000 USD.

Mr. Ernar Ernaryly

Mr. Ivan Ivanov

Mr. Robin Hood

Mr. Bruce Lee

INSTRUMENT OF TRANSFER OF SHARES

XYZ Limited
(the 'Company')

I,
Ernar Ernaryly

(Name of Transferor)

of

Al Farabi 77, Almaty, Kazakhstan

(Address of Transferor)

(hereafter called the 'Transferor'),

For good and valuable consideration paid to me by

Bruce Lee

(Name of Transferee)

of

318 Wuchuan Rd, Wujiaochang, Yangpu District, Shanghai, China

(Address of Transferee)

do HEREBY TRANSFER to the Transferee

10, ordinary

(Number & Type of Shares)

of the Company.

Date: 17.04.2020

Mr. Ernar Ernaryly
Transferor

Mr. Bruce Lee
Transferee

Signed by the duly authorised signatory for and on
behalf of the Transferor in the presence of:

Signed by the duly authorised signatory for and on
behalf of the Transferee in the presence of:

Ms. Magripa Harpulayevna
Witness

Mr. Lee Jun-fan
Witness

INSTRUMENT OF TRANSFER OF SHARES

XYZ Limited
(the 'Company')

I,
Robin Hood
(Name of Transferor)
of
53 Park Ln, Mayfair, London W1K 1QA, United Kingdom
(Address of Transferor)

(hereafter called the 'Transferor'),

For good and valuable consideration paid to me by
Bruce Lee
(Name of Transferee)
of
318 Wuchuan Rd, Wujiaochang, Yangpu District, Shanghai, China
(Address of Transferee)

do HEREBY TRANSFER to the Transferee
10, ordinary
(Number & Type of Shares)
of the Company.

Date: 17.04.2020

Robin Hood Transferor	Bruce Lee Transferee
Signed by the duly authorised signatory for and on behalf of the Transferor in the presence of:	Signed by the duly authorised signatory for and on behalf of the Transferee in the presence of:
<i>Maid Marian</i> Witness	<i>Lee Jun-fan</i> Witness